

**Federal State Autonomous Educational Institution of Higher Education "Moscow  
Institute of Physics and Technology  
(National Research University)"**

**APPROVED**

**Head of Landau Phystech-School of  
Physics & Research**

**A.V. Rogachev**

**Work program of the course (training module)**

**course:** Open Quantum Systems/Открытые квантовые системы  
**major:** Photonics and Optical Informatics  
**specialization:** Photonics, Quantum Technologies & 2D Materials/Фотоника, квантовые технологии и  
двумерные материалы  
Landau Phystech-School of Physics & Research  
Chair of Physics and Technology of nanostructures  
**term:** 2  
**qualification:** Master

Semester, form of interim assessment: 3 (fall) - Exam

Academic hours: 60 AH in total, including:

lectures: 30 AH.

seminars: 30 AH.

laboratory practical: 0 AH.

Independent work: 45 AH.

Exam preparation: 30 AH.

In total: 135 AH, credits in total: 3

Author of the program: A.A. Vishnevyy, candidate of physics and mathematical sciences

The program was discussed at the Chair of Physics and Technology of nanostructures 01.02.2021

## Annotation

The course introduces students to the main theoretical methods of analysis of open quantum systems for the research in a wide range of scientific fields. The methods under study include: the Heisenberg-Langevin method; the density matrix method (the Lindblad equation); the cluster expansion approach; method of nonequilibrium Green's functions (Keldysh technique).

### 1. Study objective

#### Purpose of the course

Introduction to the main theoretical methods of analysis of open quantum systems for the research in a wide range of scientific fields

#### Tasks of the course

To study:

1. The Heisenberg-Langevin method.
2. The density matrix method (the Lindblad equation).
3. The cluster expansion approach.
4. Method of nonequilibrium Green's functions (Keldysh technique).

### 2. List of the planned results of the course (training module), correlated with the planned results of the mastering the educational program

Mastering the discipline is aimed at the formation of the following competencies:

Code and the name of the competence	Competency indicators
Gen.Pro.C-1 Gain fundamental scientific knowledge in the field of physical and mathematical sciences	Gen.Pro.C-1.1 Apply fundamental scientific knowledge in the field of physical and mathematical sciences
	Gen.Pro.C-1.2 Able to summarise and critically evaluate experiences and research results in the field of photonics and opto-informatics
	Gen.Pro.C-1.3 Understands the interdisciplinary links in mathematics and physics and is able to apply them to problems in photonics and opto-informatics
Gen.Pro.C-2 Acquire an understanding of current scientific and technological challenges in professional settings, and scientifically formulate professional objectives	Gen.Pro.C-2.3 Understand professional terminology used in modern scientific and technical literature and present scientific results in oral and written form within professional communication
Gen.Pro.C-3 Select and/or develop approaches to professional problem-solving with consideration to the limitations and specifics of different solution methods	Gen.Pro.C-3.1 Analyze problems, plan research strategy to achieve solution(s), propose, and combine solution approaches
	Gen.Pro.C-3.2 Employ research methods to solve new problems, and apply knowledge from various fields of science (technology)
	Gen.Pro.C-3.3 Gain knowledge of analytical and computational methods of problem-solving, understand the limitations for applying the obtained solutions in practice
Gen.Pro.C-4 Successfully perform a task, analyze the results and present conclusions, apply knowledge and skills in the field of physical and mathematical sciences and ICTs	Gen.Pro.C-4.2 Apply knowledge in the field of physical and mathematical sciences to solve problems, make conclusions, and evaluate the obtained results
	Gen.Pro.C-4.3 Justify the chosen method of scientific research
Pro.C-1 Assign, formalize, and solve tasks, develop and research mathematical models of the studied phenomena and processes, systematically analyze scientific problems and	Pro.C-1.1 Locate, analyze, and summarize information on current research findings within a selected subject field
	Pro.C-1.2 Make hypotheses, build mathematical models of the studied phenomena and processes, evaluate the quality of the developed model

systematically analyze scientific problems and obtain new scientific results	Pro.C-1.3 Able to apply theoretical and/or experimental research methods in photonics and opto-informatics to a specific scientific problem and interpret the results obtained
Pro.C-2 Organize and conduct scientific research and testing independently or as a member (leader) of a small research team	Pro.C-2.1 Able to plan and carry out research in photonics and opto-informatics independently or as part of a research team
	Pro.C-2.2 Conduct tests of research results through scientific publications and participation in conferences

### 3. List of the planned results of the course (training module)

As a result of studying the course the student should:

know:

1. Basic equations describing the dynamics of an open quantum system.
2. Criteria by which the reservoir can be attributed to the Markov one.
3. Influence of a random phase accumulation on the density matrix.

be able to:

1. Calculate the dynamics of correlators of the operators of creation and annihilation of photons interacting with the heat reservoir.
2. Calculate diffusion coefficients (correlators of Langevin forces) using Einstein's equation.

master:

A set of skills and knowledge necessary to study timely scientific and technical problems.

### 4. Content of the course (training module), structured by topics (sections), indicating the number of allocated academic hours and types of training sessions

#### 4.1. The sections of the course (training module) and the complexity of the types of training sessions

№	Topic (section) of the course	Types of training sessions, including independent work			
		Lectures	Seminars	Laboratory practical	Independent work
1	State of open quantum systems	4	4		6
2	Density matrix method	6	6		9
3	The Heisenberg-Langevin method	6	6		9
4	Cluster-expansion approach	6	6		9
5	Fundamentals of nonequilibrium diagrammatic technique	8	8		12
AH in total		30	30		45
Exam preparation		30 AH.			
Total complexity		135 AH., credits in total 3			

#### 4.2. Content of the course (training module), structured by topics (sections)

Semester: 3 (Fall)

##### 1. State of open quantum systems

Density matrix. Occupation number representation. The von Neumann equation. The Gibbs distribution.

##### 2. Density matrix method

Spontaneous emission as interaction with a zero-temperature reservoir. Lindblad's equation for a system interacting with a Markovian reservoir. Random accumulation of phase. Spontaneous emission from an emitter with a phase decoherence with a damped resonator in the weak coupling mode (Purcell effect).

### 3. The Heisenberg-Langevin method

Heisenberg representation in quantum mechanics. Dynamic equation for operators with Langevin forces. Dynamics of operator correlators of the second and higher orders. Quantum Regression Theorem.

### 4. Cluster-expansion approach

Bogolyubov's hierarchy. Determination of irreducible singlet, doublet, triplet correlators. Application to a single-mode laser.

### 5. Fundamentals of nonequilibrium diagrammatic technique

Evolution operator in interaction view. The Keldysh contour. Green's function. Partial summation, self-energy, the Dyson equation. The Kadanoff-Beim equations. Application to spontaneous and stimulated emission in a single-mode laser.

## **5. Description of the material and technical facilities that are necessary for the implementation of the educational process of the course (training module)**

A set of electronic presentations/slides; an audience equipped with presentation equipment (projector, screen, computer / laptop); if necessary, special technical means for students with disabilities and persons with disabilities.

## **6. List of the main and additional literature, that is necessary for the course (training module) mastering**

### Main literature

Literature fund of the basic department:

1. М.О. Скалли, М.С. Зубайри, Квантовая Оптика (Физматлит, Москва, 2003).
2. H.-P. Breuer, F. Petruccione, The Theory of Open Quantum Systems (Oxford University Press, 2002).

### Additional literature

Literature fund of the basic department:

1. П. И. Арсеев, “О диаграммной технике для неравновесных систем: вывод, некоторые особенности и некоторые применения”, УФН, 185:12 (2015), 1271–1321; Phys. Usp., 58:12 (2015), 1159–1205.
2. M. Lax, “Quantum Noise. IV. Quantum theory of Noise Sources”, Phys. Rev., 145, 110–129 (1966).
3. J. Fricke, “Transport Equations Including Many-Particle Correlations for an Arbitrary Quantum System: A General Formalism”, Ann. Phys., 252, 479–498 (1996).

## **7. List of web resources that are necessary for the course (training module) mastering**

Not used

## **8. List of information technologies used for implementation of the educational process, including a list of software and information reference systems (if necessary)**

When preparing and conducting lectures, the Internet is used.

In addition, Libre Office is used, as well as the Ink Scape graphics package.

## 9. Guidelines for students to master the course

A student studying the discipline must, on the one hand, master the general conceptual apparatus, and on the other hand, must learn to apply theoretical knowledge in practice.

As a result of studying the discipline, the student must know the basic definitions and concepts, be able to apply the knowledge gained to solve various problems.

Successful completion of the course requires:

- attendance of all classes provided for by the curriculum for the discipline;
- keeping a synopsis of classes;
- student's intense independent work.

Independent work includes:

- reading recommended literature;
- study of educational material, preparation of answers to questions intended for independent study;
- solving problems offered to students in the classroom;
- preparation for the performance of tasks of the intermediate certification.

An indicator of mastery of the material is the ability to answer questions on the topics of the discipline without a synopsis.

It is important to achieve an understanding of the material being studied, not its mechanical memorization. If a student finds it difficult to study certain topics, questions, he/she should seek advice from a teacher.

Intermediate control of students' knowledge is possible in the form of solving problems in accordance with the topic of classes.

**Assessment funds for course (training module)**

**major:** Photonics and Optical Informatics  
**specialization:** Photonics, Quantum Technologies & 2D Materials/Фотоника, квантовые технологии и двумерные материалы  
Landau Phystech-School of Physics & Research  
Chair of Physics and Technology of nanostructures  
**term:** 2  
**qualification:** Master  
Semester, form of interim assessment: 3 (fall) - Exam  
**Author:** A.A. Vishnevyy, candidate of physics and mathematical sciences

## 1. Competencies formed during the process of studying the course

Code and the name of the competence	Competency indicators
Gen.Pro.C-1 Gain fundamental scientific knowledge in the field of physical and mathematical sciences	Gen.Pro.C-1.1 Apply fundamental scientific knowledge in the field of physical and mathematical sciences
	Gen.Pro.C-1.2 Able to summarise and critically evaluate experiences and research results in the field of photonics and opto-informatics
	Gen.Pro.C-1.3 Understands the interdisciplinary links in mathematics and physics and is able to apply them to problems in photonics and opto-informatics
Gen.Pro.C-2 Acquire an understanding of current scientific and technological challenges in professional settings, and scientifically formulate professional objectives	Gen.Pro.C-2.3 Understand professional terminology used in modern scientific and technical literature and present scientific results in oral and written form within professional communication
Gen.Pro.C-3 Select and/or develop approaches to professional problem-solving with consideration to the limitations and specifics of different solution methods	Gen.Pro.C-3.1 Analyze problems, plan research strategy to achieve solution(s), propose, and combine solution approaches
	Gen.Pro.C-3.2 Employ research methods to solve new problems, and apply knowledge from various fields of science (technology)
	Gen.Pro.C-3.3 Gain knowledge of analytical and computational methods of problem-solving, understand the limitations for applying the obtained solutions in practice
Gen.Pro.C-4 Successfully perform a task, analyze the results and present conclusions, apply knowledge and skills in the field of physical and mathematical sciences and ICTs	Gen.Pro.C-4.2 Apply knowledge in the field of physical and mathematical sciences to solve problems, make conclusions, and evaluate the obtained results
	Gen.Pro.C-4.3 Justify the chosen method of scientific research
Pro.C-1 Assign, formalize, and solve tasks, develop and research mathematical models of the studied phenomena and processes, systematically analyze scientific problems and obtain new scientific results	Pro.C-1.1 Locate, analyze, and summarize information on current research findings within a selected subject field
	Pro.C-1.2 Make hypotheses, build mathematical models of the studied phenomena and processes, evaluate the quality of the developed model
	Pro.C-1.3 Able to apply theoretical and/or experimental research methods in photonics and opto-informatics to a specific scientific problem and interpret the results obtained
Pro.C-2 Organize and conduct scientific research and testing independently or as a member (leader) of a small research team	Pro.C-2.1 Able to plan and carry out research in photonics and opto-informatics independently or as part of a research team
	Pro.C-2.2 Conduct tests of research results through scientific publications and participation in conferences

## 2. Competency assessment indicators

As a result of studying the course the student should:

### know:

1. Basic equations describing the dynamics of an open quantum system.
2. Criteria by which the reservoir can be attributed to the Markov one.
3. Influence of a random phase accumulation on the density matrix.

### be able to:

1. Calculate the dynamics of correlators of the operators of creation and annihilation of photons interacting with the heat reservoir.
2. Calculate diffusion coefficients (correlators of Langevin forces) using Einstein's equation.

### master:

A set of skills and knowledge necessary to study timely scientific and technical problems.

### 3. List of typical control tasks used to evaluate knowledge and skills

Not provided.

### 4. Evaluation criteria

Examples of test tasks:

1. Prove Wick's theorem for the four-operator mean.
2. The equation of motion of the reduced density matrix describing the field of a single-mode resonator interacting with a vacuum reservoir through a partially transmitting mirror has the form:  $d(\rho)/dt = -\gamma/2 (a^{(+)} a \rho - 2a \rho a^{(+)} + \rho a^{(+)} a)$ , where  $\gamma = \omega/Q$  is the decay rate. Derive equations for the corresponding quantities and show that quantum fluctuations  $\langle DX_1^2 \rangle$  and  $\langle DX_2^2 \rangle$  where  $X_1 = (a + a^{(+)})/2$  and  $X_2 = (a - a^{(+)})/2i$  increase due to dissipation.
3. A single mode with a frequency  $\omega$  interacts with a heat reservoir. The evolution of the system is described by the Langevin equation  $da/dt = -0.5 \gamma a + F_{\{a\}}(t)$ , where  $a(t) = a(t) \exp(i \omega t)$ . Calculate the variance  $\langle DX_1^2 \rangle$ , where  $X_1 = (a + a^{(+)})/2$  at time  $t$ , assuming it is known at the initial moment ( $t = 0$ ).

Examples of examination papers:

Paper 1.

1. Density matrix. Occupation number representation. The von Neumann equation. The Gibbs distribution.
2. Prove Wick's theorem for the four-operator mean.

Paper 2.

1. Spontaneous emission as interaction with a zero-temperature reservoir. Lindblad's equation for a system interacting with a Markovian reservoir. Random accumulation of phase.
2. The equation of motion of the reduced density matrix describing the field of a single-mode resonator interacting with a vacuum reservoir through a partially transmitting mirror has the form:  $d(\rho)/dt = -\gamma/2 (a^{(+)} a \rho - 2a \rho a^{(+)} + \rho a^{(+)} a)$ , where  $\gamma = \omega/Q$  is the decay rate. Derive equations for the corresponding quantities and show that quantum fluctuations  $\langle DX_1^2 \rangle$  and  $\langle DX_2^2 \rangle$  where  $X_1 = (a + a^{(+)})/2$  and  $X_2 = (a - a^{(+)})/2i$  increase due to dissipation.

Assessment “excellent (10)” is given to a student who has displayed comprehensive, systematic and deep knowledge of the educational program material, has independently performed all the tasks stipulated by the program, has deeply studied the basic and additional literature recommended by the program, has been actively working in the classroom, and understands the basic scientific concepts on studied discipline, who showed creativity and scientific approach in understanding and presenting educational program material, whose answer is characterized by using rich and adequate terms, and by the consistent and logical presentation of the material;

Assessment “excellent (9)” is given to a student who has displayed comprehensive, systematic knowledge of the educational program material, has independently performed all the tasks provided by the program, has deeply mastered the basic literature and is familiar with the additional literature recommended by the program, has been actively working in the classroom, has shown the systematic nature of knowledge on discipline sufficient for further study, as well as the ability to amplify it on one's own, whose answer is distinguished by the accuracy of the terms used, and the presentation of the material in it is consistent and logical;

Assessment “excellent (8)” is given to a student who has displayed complete knowledge of the educational program material, does not allow significant inaccuracies in his answer, has independently performed all the tasks stipulated by the program, studied the basic literature recommended by the program, worked actively in the classroom, showed systematic character of his knowledge of the discipline, which is sufficient for further study, as well as the ability to amplify it on his own;



Assessment “good (7)” is given to a student who has displayed a sufficiently complete knowledge of the educational program material, does not allow significant inaccuracies in the answer, has independently performed all the tasks provided by the program, studied the basic literature recommended by the program, worked actively in the classroom, showed systematic character of his knowledge of the discipline, which is sufficient for further study, as well as the ability to amplify it on his own;

Assessment “good (6)” is given to a student who has displayed a sufficiently complete knowledge of the educational program material, does not allow significant inaccuracies in his answer, has independently carried out the main tasks stipulated by the program, studied the basic literature recommended by the program, showed systematic character of his knowledge of the discipline, which is sufficient for further study;

Assessment “good (5)” is given to a student who has displayed knowledge of the basic educational program material in the amount necessary for further study and future work in the profession, who while not being sufficiently active in the classroom, has nevertheless independently carried out the main tasks stipulated by the program, mastered the basic literature recommended by the program, made some errors in their implementation and in his answer during the test, but has the necessary knowledge for correcting these errors by himself;

Assessment “satisfactory (4)” is given to a student who has discovered knowledge of the basic educational program material in the amount necessary for further study and future work in the profession, who while not being sufficiently active in the classroom, has nevertheless independently carried out the main tasks stipulated by the program, learned the main literature but allowed some errors in their implementation and in his answer during the test, but has the necessary knowledge for correcting these errors under the guidance of a teacher;

Assessment “satisfactory (3)” is given to a student who has displayed knowledge of the basic educational program material in the amount necessary for further study and future work in the profession, not showed activity in the classroom, independently fulfilled the main tasks envisaged by the program, but allowed errors in their implementation and in the answer during the test, but possessing necessary knowledge for elimination under the guidance of the teacher of the most essential errors;

Assessment “unsatisfactory (2)” is given to a student who showed gaps in knowledge or lack of knowledge on a significant part of the basic educational program material, who has not performed independently the main tasks demanded by the program, made fundamental errors in the fulfillment of the tasks stipulated by the program, who is not able to continue his studies or start professional activities without additional training in the discipline in question;

Assessment “unsatisfactory (1)” is given to a student when there is no answer (refusal to answer), or when the submitted answer does not correspond at all to the essence of the questions contained in the task.

## **5. Methodological materials defining the procedures for the assessment of knowledge, skills, abilities and/or experience**

The course is graded at an exam. The questioning starts with a random task assigned to each student and time given for completion of the task. No aids are allowed. The student then proceeds to a chat with the examiner, at which he/she presents his/her solution to the assigned task. The examiner then asks the student several questions that evenly cover the course content. A final grade is assigned based on the quality of answers and demonstrated level of understanding.